

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

David G. Foster, et al

THERMAL PRINTING ASSEMBLY  
Serial No.

Filed

Group Art Unit:

Examiner:

Express Mail Label No. EZ293511659US

December 5, 2003  
Date

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION  
BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99

Enclosed herewith are patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention claimed in the above-described application. In compliance with §1.56, such documents are listed in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record the above-identified documents. A full text copy of each document is attached, except for copies of U.S. patents and U.S. patent application publications. For documents not in English, an English translation or an equivalent English language patent or publication may be attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

This Information Disclosure Statement (hereinafter "Statement") is submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of the filing date of the application (other than a CPA), or before the mailing of a first Office action on the merits or before the mailing of a first Office action after the filing of a request for continued examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account Number 05-0225. A duplicate copy of this Certification is enclosed.

III. ☐ This Statement is being filed under §1.97(c), with a certification under, §1.97(e) **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):

☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

IV. ☐ This Statement is being filed under §1.97(d), with fee and certification under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):

☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,



Attorney for Applicants  
Registration No. 40,101

Kathleen Neuner Manne/cak  
Telephone: 585-722-9225  
Facsimile: 585-477-1148  
Enclosures

FORM PTO-1449

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Atty. Docket No.

86991KNM

Customer No. 01333

Serial No.

10/667,064

If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee

Applicant:

David G. Foster, et al

## LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

Filing Date

17 September 2003

Group

To be assigned

## U.S. PATENT DOCUMENTS

Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,626,256	12-02-1986	Kawasaki et al.			
	4,643,917	02-17-1987	Koshizuka et al.			
	4,740,496	04-26-1988	Vanier			
	4,820,687	04-11-1989	Kawasaki et al.			
	4,839,338	06-13-1989	Marbrow			
	4,927,666	05-22-1990	Kawasaki et al.			
	4,968,659	11-06-1990	Nishitani			
	5,118,657	06-02-1992	Kawakami et al.			
	5,356,859	10-18-1994	Lum et al.			
	5,674,804	10-07-1997	Steen et al.			
	5,763,358	06-09-1998	Kaszczuk et al.			
	5,300,476	04-05-1994	Kubodera et al.			
	5,466,657	11/14/1995	Egashira et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	1991-178485		JP - Abstract				
	1991-75191		JP - Abstract				
	1990-196692		JP - Abstract				
	1992-255394		JP - Abstract				
	1992-112085		JP - Abstract				
	1991-86588		JP - Abstract				
	1992-163092		JP - Abstract				
	09202059		JP-Abstract				
	61262189		JP			X	
	60129295		JP - Abstract				
	09202058		JP - Abstract				
	11105442		JP - Abstract				
	63194983		JP - Abstract				
	02220887		JP - Abstract				
	1991-266692		JP				
	0718117A1		EP			X	
	0390044B1		EP			X	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.